



PHOSPHITE ESTER ADDITIVE COMPOSITIONS

This application claims priority from United States provisional patent application serial number 60/273,303 filed March 2, 2001, United States provisional patent application serial number 60/314,181 filed August 16, 2001, and United States provisional patent application serial number 60/315,746 filed August 29, 2001.

Technical Field

The invention relates generally to improving the performance and reducing the heavy metal content of PVC compounds by the partial or total substitution of conventional mixed metal stabilizers with phosphite esters, or blends thereof, with an effective amount of added zinc.

Background of the Invention

The PVC industry began with the invention of plasticized polyvinyl chloride ("PVC") by Waldo Semon of the B. F. Goodrich Company in 1933 as an alternative to natural rubber where its non-flammability made it ideal for wire insulation, particularly on naval ships. However, unlike rubber, PVC has a tendency to discolor and is not easy to process well. Stabilization is required to perform two basic functions: (1) prevent discoloration; and (2) absorb hydrogen chloride (HCl) which evolves during process. It is believed that billions of pounds of flexible PVC are employed throughout the world in a wide variety of commercial applications. These include vinyl flooring, wall covering, roofing, pond and pool liners, film, upholstery, apparel, hose, tubing and wire insulation.

In order to successfully process vinyl compounds into finished vinyl articles by extrusion, calendering or molding, it is necessary to incorporate between one and five percent of a heat stabilizer to prevent dehydrohalogenation and discoloration of the polymer during thermal processing. The preferred vinyl heat stabilizers for most flexible PVC applications in the United States are referred to as "Mixed Metal" heat stabilizers. They are complex multi-component chemical admixtures based upon combinations of alkaline earth and heavy metal salts with a variety of antioxidants, HCl absorbers and chelating agents. The most widely used mixed metals are based upon and referred to as Barium-Cadmium, Barium-Cadmium-Zinc, Barium-Zinc and Calcium-Zinc stabilizers.

However, mixed metal heat stabilizers suffer from several drawbacks. If the level of zinc is too high, the polymer will char very rapidly. Additionally, barium and cadmium are toxic heavy metals which while they do provide heat stability, their presence adversely affects clarity, plate out and stain. In order to counteract these negative effects, further

1 additional components were blended into the formulations, making PVC additive
2 formulation and processing a highly unique and specialized art. Clearly, what was needed
3 was an approach which used higher performance phosphites and added back only what
4 was needed.

1 Phosphites can stabilize the PVC in a variety of mechanisms. They have been
2 shown to scavenge HCl and replace labile chlorine (via the Arbuzov rearrangement), add to
3 double bonds, decompose peroxides and complex Lewis acids. The need for heavy metals
4 such as cadmium and barium can be eliminated by choosing the correct phosphite and
5 optimizing the level of zinc in the PVC formulation. The phosphites were chemically
6 designed to build mechanism into the molecule itself to perform the various functions
7 required to stabilize flexible PVC. The resulting complex phosphite esters are liquid
8 stabilizers, designed to be used either as partial or full replacements of mixed metal
9 stabilizers in conjunction with small, but effective amounts of zinc.

10 This invention illustrates the manner in which various phosphite esters and
11 phosphite ester blends can be used to augment, and replace, partially or completely, heavy
12 metal components of mixed metal vinyl heat stabilizers, especially for both flexible and rigid
13 PVC.

14 ***Summary of the Invention***

15 The invention discloses specific phosphite esters which when used in conjunction
16 with small carefully controlled quantities of active zinc compounds, can replace partially or
17 completely conventional high performance state of the art commercial mixed metal heat
18 stabilizers on a part for part basis, while not only retaining, but actually improving the high
19 heat stability and performance of the barium cadmium zinc or barium zinc products.
20 Concurrently, and also surprisingly, the direct replacement of the barium cadmium zinc and
21 barium zinc based stabilizers with the phosphite based compositions of the invention
22 improve a multitude of ancillary and final properties of the PVC compounds.

23 The invention is directed to an additive composition which substantially reduces the
24 content of mixed metal stabilizers based upon the toxic metals of barium and cadmium, by
25 replacing those stabilizers with essentially toxic metal free phosphite ester compositions
26 disclosed herein with small (catalytic, in the ppm range) amounts of zinc.

27 It is another object of this invention to improve certain ancillary properties of all
28 mixed metal stabilizers, including calcium zinc types, with regard to their ability to improve
29 initial color retention, clarity, plate out resistance, volatility, printability and compatibility of
30 flexible PVC compounds by methods disclosed herein.

31 It is yet another object of this invention to disclose a method of totally replacing
32 mixed metal stabilizers with essentially toxic metal free phosphite ester compositions
33 disclosed herein with small (catalytic) amounts of zinc.

1 These and other objects of the present invention will become more readily apparent
2 from a reading of the following detailed description taken in conjunction with the
3 accompanying drawings wherein like reference numerals indicate the parts and appended
4 claims.

5 : ***Brief Description of the Drawings***

6 The invention may take physical form in certain parts and arrangements of parts, a
7 preferred embodiment of which will be described in detail in the specification and illustrated
8 in the accompanying drawings which form a part hereof, and wherein:

9 FIG. 1 is a graph over time of the impact on oven aging by varying the class of
10 phosphite stabilizer used to stabilize PVC (as measured by Yellowness Index) using 100
11 parts PVC resin, 45 parts dioctyl phthalate, 20 parts CaCO₃, 5 parts epoxidized soybean
12 oil, 0.25 parts stearic acid, 0.2 parts zinc stearate, and 2 parts of various classes of
13 phosphites;

14 FIG. 2 is a graph over time of the impact of oven aging by varying the amount of
15 added zinc used with diphenyl ethylhexyl phosphite (as measured by Yellowness Index)
16 using 100 parts PVC resin, 45 parts dioctyl phthalate , 20 parts CaCO₃, 5 parts epoxidized
17 soybean oil, 0.25 parts stearic acid, 2 parts diphenyl ethylhexyl phosphite, and various
18 amounts of zinc stearate;

19 FIG. 3 is a graph over time of the impact on oven aging by varying the amount of
20 tetraisodecyl bisphenol A diphosphite used to stabilize PVC (as measured by Yellowness
21 Index) using 100 parts PVC resin, 45 parts dioctyl phthalate , 20 parts CaCO₃, 5 parts
22 epoxidized soybean oil, and 0.2 parts zinc stearic acid and various amounts of bisphenol A-
23 based phosphite;

24 FIG. 4 is a graph over time of the impact on oven aging by varying the phosphite or
25 phosphite blend used to stabilize PVC (as measured by Yellowness Index) using 100 parts
26 PVC resin, 45 parts dioctyl phthalate , 20 parts CaCO₃, 5 parts epoxidized soybean oil, and
27 0.25 parts stearic acid, 0.25 parts zinc stearate and 2.0 parts phosphite or phosphite blend;

28 FIG. 5 is a graph over time of the impact on oven aging by comparing a phosphite of
29 the instant invention (i.e., tetraisodecyl bisphenol-A diphosphite / poly DPG phenyl
30 phosphite blend with 2.5% zinc octanoate) to Prior Art PVC stabilizers (as measured by
31 Yellowness Index) using 100 parts PVC resin, 25 parts dioctyl phthalate , 25 parts CaCO₃,
32 3 parts epoxidized soybean oil, 7.0 parts TiO₂, and 0.5 parts stearic acid and 4 parts of
33 stabilizer;

1 FIG. 6 is a graph over time of the impact on the QUV weathering test by comparing
2 a phosphite of the instant invention (i.e., tetraisodecyl bisphenol-A diphosphite / poly DPG
3 phenyl phosphite blend containing 2.5% zinc octanoate) to Prior Art PVC stabilizers (as
4 measured by Yellowness Index) using 100 parts PVC resin, 55 parts 7-11, 3 parts
5 epoxidized soybean oil, 7.0 parts TiO₂, and 0.3 parts stearic acid and 3.5 parts of stabilizer;
6 and

7 FIG. 7 is a bar chart of percentage weight loss of various stabilizers and a phosphite
8 ester blend of the present invention after 2 hours at 110°C illustrated in Table VI.

9 **Detailed Description of the Invention**

10 Referring now to the drawings wherein the showings are for purposes of illustrating
11 the preferred embodiment of the invention only and not for purposes of limiting the same,
12 the Figures show various comparisons of phosphite ester / Zn additive compositions with
13 that of various Prior Art additives. As illustrated in FIG. 1, the efficacy of all classes of
14 phosphites is not equivalent. The hydrogen phosphites are the least effective class of
15 phosphite esters, whereas the bisphenol-A phosphites as well as the dipropylene glycol
16 phosphites showed extended resistance to yellowing over time. Within each class of
17 phosphite, the performance for particular phosphites was very similar.

18 The level of zinc present in the stabilizer affects the performance of the additive. As
19 noted in the Prior Art, early color is improved with added zinc. However, as shown in FIG.
20 2, a window of optimum zinc level exists, the specific range of the window being somewhat
21 unique for each phosphite class. If the zinc concentration is too low, the early color will not
22 be acceptable. If the zinc concentration is too high, the PVC will char at earlier exposure
23 times. This effect is displayed for an alkyl-aryl phosphite (i.e., diphenyl ethylhexyl
24 phosphite) in FIG. 2, although the relationship is demonstrated for all classes of phosphite
25 esters.

26 Although the zinc level has an optimum value for effectiveness, the level of
27 phosphite does not appear to be as sensitive. In general, the long-term color improved with
28 an increase in the level of phosphite as shown in FIG. 3. The increase in phosphite level
29 (i.e., Doverphos® 675, a C₁₀ bisphenol A phosphite) had little effect on the color of the PVC
30 strip before 50 minutes, but extended the final char time to greater than 120 minutes.
31 Again, this trend was observed for most classes of phosphites. Thus phosphites can
32 replace other heavy metal stabilizers by complexing acidic Zn, in addition to reacting with
33 labile chlorides and HCl.

1 Synergistic effects were observed using combinations of phosphite classes. FIG. 4
2 displays blends of alkyl-aryl, DPG and BPA based phosphites. The total concentration of
3 phosphite remained constant at 2.0 parts. It is obvious that the addition of a higher

1 performance phosphite such as Doverphos® 12 (poly (dipropylene glycol) diphosphite)
2 increases the performance of Doverphos® 9-EH (diphenyl ethylhexyl phosphite) when
3 blended at a ratio of 1:1. More than one phosphite can be blended into the composition as
4 shown by the 1:1:1 blend of three phosphites (i.e., Doverphos® 675/9-EH/12) in which it is
5 shown that the performance slightly exceeded the performance of Doverphos® 12 by itself.
6 This is significant for cost performance since Doverphos® 9-EH is the most cost-effective
7 commercial phosphite. A blend could be used to deliver similar performance but with the
8 benefit of lower cost.

9 A 1:1 phosphite blend of Doverphos® 675/12 was compared to two typical
10 commercially available Prior Art mixed metal stabilizers in FIG. 5. The commercial samples
11 included a Barium-Cadmium and a Barium-Zinc stabilizer, in addition to other costabilizers.
12 The blend of phosphites of the instant invention combined with zinc stearate outperformed
13 the mixed metal stabilizers. Other advantages include better long-term heat stability, better
14 UV stability, less plate out, better clarity and better economics. An example of a QUV
15 weathering test is displayed in FIG. 6. Again, the PVC stabilized with the phosphite blend
16 described for FIG. 5 developed less color over time when compared to the mixed heavy
17 metal stabilizers.

18 FIG. 7 clearly indicates the nonvolatile nature of the additives of the instant invention
19 when compared to Prior art products. Scrap losses from moisture blush, caused by heavy
20 metals, and from plate out, along with associated downtime from color change cleanouts,
21 are minimized. Volatiles cause both environmental problems and plate out. The
22 phosphites of the instant invention contain essentially no solvents, and have far less
23 volatility than traditional mixed metal stabilizers.

24 One difficulty in replacing mixed metal stabilizers based upon the toxic metals
25 barium and cadmium is based on the fact that since the advent of the liquid mixed metal
26 phosphite stabilizers, compounders have effectively lost the ability to independently vary
27 the ratio of metals to phosphite esters which has been controlled by the manufacturer. It
28 has now been found as shown in the results to follow, that mixed metal stabilizers based
29 upon toxic metals, barium and cadmium can be reduced substantially by simply reducing
30 the barium-cadmium or barium-zinc stabilizer level and replacing it with a mixed high
31 efficiency phosphite ester based composition and concurrently maintaining or adjusting the
32 overall zinc level in the vinyl compound to achieve or maintain a level of zinc of 50 to 800
33 ppm resin, preferably, 100 to 500 ppm resin by either including a small amount of an active

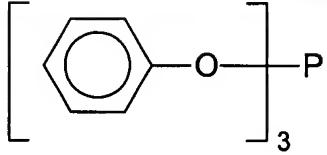
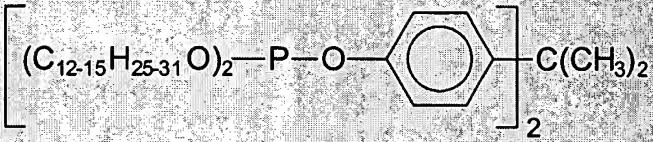
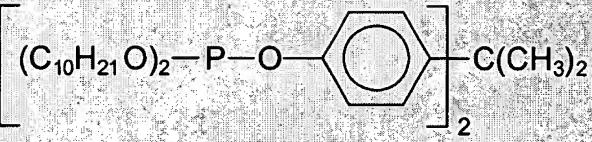
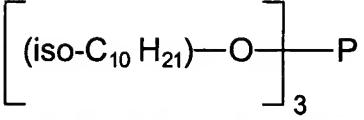
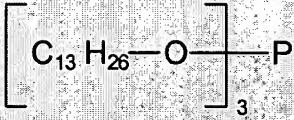
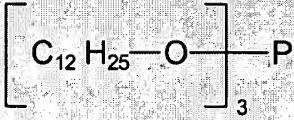
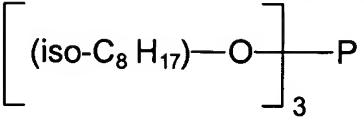
1 zinc compound in the phosphite ester or adding the appropriate amount of zinc separately
2 as a simple zinc salt, e.g., zinc stearate. Phrased alternatively, what has been shown to be
3 effective are the following molar percentages: approximately 0.05-0.4% zinc to 4-10%
4 phosphorus, more preferably, 0.1-0.3% zinc to 5-8% phosphorus, most preferably 0.15-
5 2.5% zinc to 6-7% phosphorus. Alternatively, on a molar ratio of P/Zn of approximately
6 80:1 to 4:1, more preferably 75:1 to 6:1, most preferably, 73:1 to 8:1.

7 As further shown in the tables to follow, when the levels of commercial mixed metal
8 stabilizers are reduced and replaced with the phosphite ester-based compositions, several
9 ancillary properties of the vinyl compound, such as initial color retention, oven aging, clarity,
10 plate-out resistance, and compatibility improve dramatically.

11 Additionally, mixed metal stabilizers based upon barium and cadmium can be
12 completely replaced by the phosphite ester based compositions of the invention by slightly
13 increasing the epoxy plasticizer levels of the vinyl compounds and employing effective
14 levels of high efficiency phosphite compositions containing or used in conjunction with
15 specific low levels of zinc in the substantial or complete absence of any other metallic
16 stabilizer components.

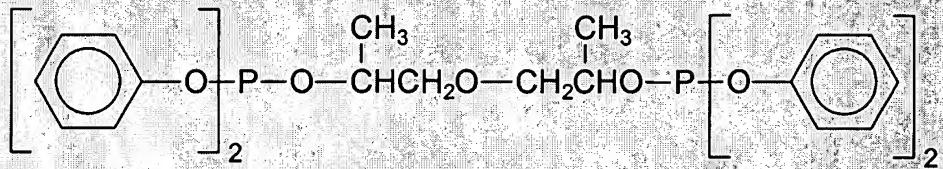
17 With conventional mixed metal stabilizer systems which employ phosphite esters
18 and epoxy plasticizer primarily as secondary heat stabilizers, exudation problems can occur
19 at elevated levels of phosphite and epoxy. It has been found that by removing the barium
20 and cadmium salts and avoiding any soluble alkali or alkaline earth metal compounds
21 completely, and by relying only on the presence of extremely low levels of zinc compounds,
22 high efficiency phosphite esters and epoxy plasticizers can, in fact, be employed at levels
23 sufficient to impart adequate stability without giving rise to the incompatibility problems
24 associated with the state-of-the-art mixed metal stabilizer systems at the same levels of
25 phosphite and epoxy. It is surprising and highly unexpected that the phosphite ester
26 compositions impart adequate stability even in the absence of the metal stabilizers typically
27 used.

28 Commercially used phosphites can be divided into several categories based on the
29 structure of the alcohols that are used to synthesize the phosphite ester, and all illustrative
30 of phosphite esters suitable for use in the invention.

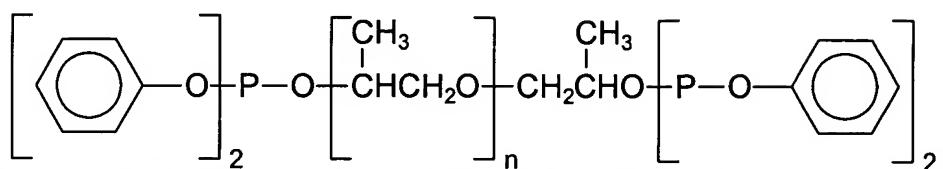
Category	Name	Structure
Aryl Phosphites		
#1	Tris(nonylphenyl) phosphite (DOVERPHOS® 4)	
#2	Triphenyl phosphite (DOVERPHOS® 10)	
Bisphenol-A Phosphites or BPA Phosphites		
#3	Alkyl (C ₁₂₋₁₅) BPA phosphite (DOVERPHOS® 613)	
#4	Alkyl (C ₁₀) BPA phosphite (DOVERPHOS® 675)	
Alkyl Phosphites		
#5	Triisodecyl phosphite (DOVERPHOS® 6)	
#6	Triisotridecyl phosphite (DOVERPHOS® 49)	
#7	Trilauryl phosphite (DOVERPHOS® 53)	
#8	Triisooctyl phosphite (DOVERPHOS® 74)	

Dipropylene Glycol Phosphites or DPG Phosphites

#9 Tetraphenyl DPG diphosphite (DOVERPHOS® 11)



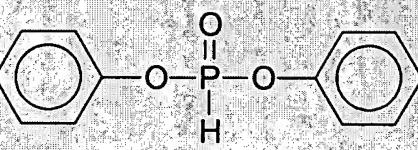
#10 Poly DPG phenyl phosphite (DOVERPHOS® 12)



Dialkyl/Aryl Hydrogen Phosphites

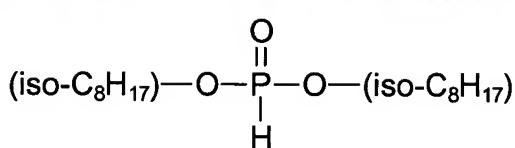
#11 Diphenyl phosphite

(DOVERPHOS® 213)



#12 Diisooctyl phosphite

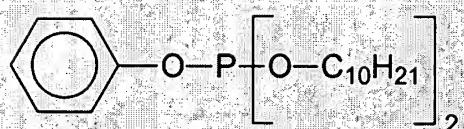
(DOVERPHOS® 298)



Alkyl/Aryl Phosphites

#13 Phenyl diisodecyl phosphite

(DOVERPHOS® 7)



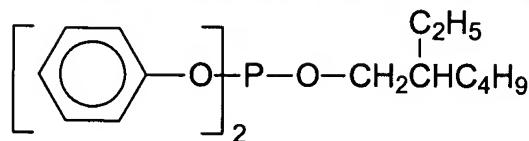
#14 Diphenyl isodecyl phosphite

(DOVERPHOS® 8)



#15 Diphenyl 2-ethylhexyl phosphite

(DOVERPHOS® 9EH)



Pentaerythritol Phosphites or PE Phosphites

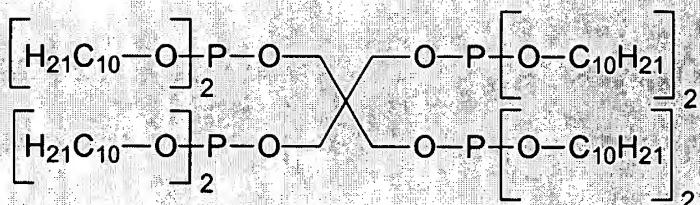
#16 Diisodecyl PE diphosphite

(DOVERPHOS® 1220)



#17

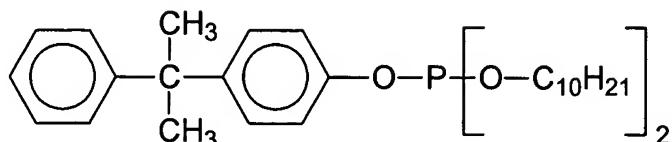
(DOVERPHOS® 9708)



p-Cumyl Phenol Phosphites or PCP Phosphites

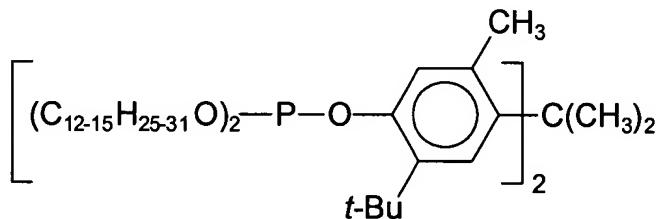
#18 Mono PCP diisodecyl

phosphite



#19

(DOVERPHOS® 479)



The phosphite ester-based compositions of the present invention, used in flexible polyvinyl chloride compounds may partially or completely replace mixed metal PVC heat stabilizers containing toxic metals such as barium or cadmium without significantly detracting from the processability or initial color retention of the PVC compounds.

The phosphite ester-based compositions may consist of one or more phosphite esters and either contain or are used in conjunction with a small, but effective amount of an active zinc compound sufficient to ensure that the overall zinc level in the vinyl compounds (based on 100 parts per hundred parts resin, i.e., phr) falls within a range of about 50 to about 800 ppm, more preferably 100 to about 500 ppm based upon the PVC resin. The active zinc compounds may be selected zinc salts, particularly zinc carboxylates, which would preferably include these soluble chemical moieties: zinc octoate, zinc 2-ethylhexoate, zinc hexoate, zinc neodecanoate, zinc, deoate, zinc dodecanoate, zinc isostearate, zinc oleate; as well as these insoluble chemical moieties: zinc stearate, zinc

1 tallow fatty acids, zinc palmitate, zinc myristate, zinc laurate, and zinc benzoate, although it
2 is well within the skill of those in the art to determine other effective zinc compounds.

3 The phosphite ester-based stabilizer compositions further can totally replace
4 commercial mixed metal PVC heat stabilizers containing toxic metals such as barium and
5 cadmium from commercial flexible PVC compounds without a significant reduction of the
6 heat processing stability or color stability of commercial flexible PVC compounds when
7 used in conjunction with an effective amount of an epoxidized soybean oil plasticizer.

8 The phosphite ester-based stabilizer compositions further may be used in
9 conjunction with an effective amount of organic costabilizers such as epoxy plasticizers,
10 phenol antioxidants and beta diketones.

11 PhosBooster™ phosphite ester-based compositions representative for use in the
12 present invention are disclosed in Table I whereby the specific phosphite ester or
13 phosphite-ester blend is disclosed as a percentage by weight in addition to the amount of
14 added zinc.

15 **Table I**

	PhosBooster™ Compositions	Invention Examples (% by weight)						
		A	B	C	D	E	F	G
Phosphite	Doverphos® 675		78.5	97.0	18.0	73.0		91.0
	Doverphos® 613		98.0					
	Doverphos® 11		19.0					
	Mono PCP diisodecyl						97.0	
	Doverphos® 9708				12.5			
	Doverphos® 7				54.0			
Zinc	Doverphos® 53				24.0			
	Octanoate (20% Zn)	2.0	2.5	3.0	1.2	3.0	3.0	8.0
Plasticizer/Other	Dibenzoyl methane				2.3			1.0
	Epoxidized soybean oil				12.0			

16
17 Six commercial barium and cadmium containing stabilizers listed below were used
18 for comparison purposes in testing. These sample compositions are listed below with their
19 relative active metal content analysis in Table II as measured by acid hydrolysis in
20 methylene chloride followed by aqueous solvent extraction.

Table II

	Prior Art Examples					
	U ⁽¹⁾	V ⁽²⁾	W ⁽³⁾	X ⁽⁴⁾	Y ⁽⁵⁾	Z ⁽⁶⁾
% Ba	0.0	11.3	5.1	9.4	11.4	9.0
% Cd	0.0	0.0	6.4	0.0	0.0	0.0
% Zn	2.7	2.2	0.9	1.8	2.1	2.3
% Ca	7.2	0.0	0.0	0.0	0.04	0.0

(1) Commercially available Zn/Ca additive
 (2) Commercially available Ba/Zn (OMG 2379)
 (3) Commercially available Ba/Cd/Zn (Thermochek 2379)
 (4) Commercially available Ba/Zn (OMG 2342)
 (5) Commercially available Ba/Zn/Ca additive
 (6) Commercially available Ba/Zn (OMG 2327)

3 The comparative testing used PVC resin, having an intrinsic viscosity of 1.02. All
 4 formulations were mixed for ten minutes with a Hobart bowl mixer. A two-roll mill, set for
 5 0.75 mm sample thickness was used to process the PVC samples with a front roll speed
 6 set to 30 rpm and a back roll speed set to 33 rpm. The samples were milled at 180°C for
 7 four minutes unless otherwise specified.

8 A Mathis thermotester oven was used for short term static heat stability testing.
 9 Milled samples were cut into strips (1.8 cm x 25 cm) and tested at 185°C for two hours.
 10 Performance was evaluated via yellowness index values using a HunterLab D25 A optical
 11 sensor. For accelerated weathering, 7.5 mm x 7.5 mm plaques of milled samples were
 12 pressed using a Genesis Press (Wabash) at 180°C and 3 psig for 30 seconds, followed by
 13 25 psig for 3.5 minutes. Heated molds were then cooled under 25 psig for 8 minutes.
 14 Testing was completed with a Q-U-V Accelerated Weathering Tester (Q-Panel Co.) at
 15 60°C. Performance was evaluated through yellowness index values. For clarity testing,
 16 milled stock was press polished for 5 minutes at 177°C to 0.125 inch (0.32 cm) thickness.
 17 The samples were then ranked according to relative clarity. With samples used in the
 18 clarity testing, calcium carbonate was omitted in order to render the analysis meaningful.
 19 For plate out testing, the samples were milled with 0.2 phr 2B red dye for five minutes at

1 182°C. The millings were then followed with a White clean-up mill sheet compound
2 according to industry standards. The samples were then ranked according to relative plate
3 out resistance. For compatibility testing, milled stock was cut into strips and aged for 30
4 days at 71°C and 100% relative humidity. Samples were then ranked according to relative
5 color retention and tendency to spew. For relative clarity, 1/8" (0.32 cm) plaques were
6 pressed at 190°C for 2 minutes, then compared against a printed background for relative
7 crispness of letters through the plaques. For stabilizer volatility, a percentage weight loss
8 was measured as a difference between the start and end weight of the stabilizer samples
9 as measured in an oven after two (2) hours at 110°C.

10 Example #1

11 Four stabilized flexible PVC resin formulations (two Prior Art, i.e., compositions V
12 and W as well as two of the instant invention, i.e., compositions B and C) were made in
13 accordance with the components and quantities thereof as shown in Table III.

14 **Table III**

Component	Parts
PVC Resin	100
Plasticizer	40
Epoxidized soybean oil	5
Stabilizing blend	3

15 The Yellowness Index was measured for two prior art stabilizer additive packages in
16 contrast to two compositions of the instant invention in Table IV in a short term static heat
17 stability test. As is clearly seen in the table, the heat stability was not only equivalent to,
18 but superior to Prior Art formulations, but without additional heavy metals. Equally
19 significant to the fact that the heavy metal of Ba in the case of Prior Art formulation V and of
20 Ba and Cd in the case of Prior Art formulation W, were eliminated in the formulations of the
21 invention, but additionally resulted in superior clarity, a highly desirable feature in PVC
22 signage.

1

Table IV

Time (min)	Color (Yellowness Index) Value			
	Prior Art V	Prior Art W	B (150 ppm Zn)	C (180 ppm Zn)
0	2.9	2.4	1.3	1.6
30	6.1	4.0	2.9	2.9
60	15.5	19.6	6.5	7.4
90	33.0	63.3	22.5	26.3
110	57.6	140.0	37.5	65.9
Clarity	Poor	Fair	Excellent	Excellent

2

3

Example #2

4 Five stabilized flexible PVC resin formulations (two Prior Art, i.e., compositions X
 5 and Y as well as three of the instant invention, i.e., compositions D, E and F) were made in
 6 accordance with the components and quantities thereof as shown in Table V.

7

Table V

Component	Parts
PVC Resin	100
Plasticizer	35
Epoxidized soybean oil	3
CaCO ₃	20
Stearic Acid	0.2
Stabilizing blend	2.5

8

9 The Yellowness Index was measured for two prior art stabilizer additive packages in
 10 contrast to three compositions of the instant invention using the compositions of Table V in
 11 a short term static heat stability test. As is clearly seen in the following Table VI, the heat
 12 stability was not only equivalent to, but superior prior art formulations, but without additional
 13 heavy metals. Additionally, the volatility of the stabilizers was significantly less than that
 14 exhibited by Prior Art formulations, which is directly attributable to plate out, which
 15 increases with volatility as evidenced by the red to pink color of the clean out sheet, an
 16 undesirable feature for PVC sheets or films.

1. **Table VI**

Time (min)	Color (Yellowness Index) Value				
	Prior Art X	Prior Art Y	D (72 ppm Zn)	E (180 ppm Zn)	F (180 ppm Zn)
0	1.7	2.4	1.8	1.5	1.6
15	2.7	2.6	2.4	1.7	2.6
60	19.4	16.9	11.2	12.1	16.1
105	28.4	26.8	20.7	20.1	20.3
165	Char	44.7	43.7	44.9	Char
% weight loss	20.1	12.3	1.1	0.6	0.2
Color of clean out sheet	Bright Red	Light Pink	White	White	White
	to Pink				

2. **Example #3**3. Two stabilized flexible PVC resin formulations (one Prior Art, i.e., composition U as
4. well as one of the instant invention, i.e., composition A) were made in accordance with the
5. components and quantities thereof as shown in Table VII.
6.7. **Table VII**

Component	Parts
PVC Resin	100
Impact Modifier	11
Epoxidized soybean oil	15
Processing Aid	3
Surfactant	3
Lubricant	1.0
Stabilizer	1.3

8. The Yellowness Index was measured for the prior art stabilizer additive package in
9. contrast to a composition of the instant invention using the composition of Table VII in a
10. short term static heat stability test. As is clearly seen in the following Table VIII, the
11. dynamic and static heat stability were not only equivalent to, but superior to a Prior Art
12. formulation, but without additional heavy metals.
13.

Table VIII

Color (Yellowness Index) Value			Color (Yellowness Index) Value		
	Prior Art U	A (120ppm)		Prior Art U	A (120ppm)
Time (min)	Dynamic Thermal Stability		Time (min)	Static Thermal Stability	
0	15	7	0	5.2	3.1
3	26	14	10	8.3	5.0
6	45	30	20	12.7	6.4
9	62	51	30	18.5	13.2
12	96	78	40	30.1	18.1
15	Char	char	50	39.4	29.8
18			60	52.1	46.7
			70	Char	72.1
			80		Char

Example #4

While levels of Zn in the range of 100-500 ppm are believed to be preferred, depending on the level of performance desired by the end-user, higher levels of Zn, e.g., 480 ppm can be added to the system, but still achieve acceptable performance.

Table IX

Component	Parts
PVC Resin	98
Plasticizer	41
Epoxidized soybean oil	3
CaCO ₃	40
Surfactant	3
ATH	5
Lubricants	0.25
Stabilizer	2

The Yellowness Index was measured for the Prior Art stabilizer additive package in contrast to a composition of the instant invention using the compositions of Table IX in a short term static heat stability test. As is clearly seen in the following Table X, the heat

1 stability was not only equivalent to, but superior prior art formulation, but without additional
2 heavy metals. Additionally, the volatility of the stabilizers was significantly less than that
3 exhibited by Prior Art formulations, which is directly attributable to plate out, which
4 increases with volatility as evidenced by the red to pink color of the clean out sheet, an
5 undesirable feature for PVC sheets or films.

6 **Table X**

Time (min)	Prior Art Z	G (480ppm)
0	8.9	6.1
20	9.5	7.3
60	15.8	12.1
110	30.7	28.9
% weight loss	36.1	0.9
Color of clean out sheet	Bright Red to Pink	White

7

8 **Example #5**

9 The impact of Zn level with any one particular class of phosphite ester is was
10 compared by using the formulation illustrated in Table XI with various levels of zinc in
11 various phosphite stabilizers shown in Table XII. The level of zinc was varied from 0 ppm
12 to 400 ppm.

13 **Table XI**

Component	Parts
PVC Resin	100
Plasticizer	45
Epoxidized soybean oil	5
CaCO ₃	20
Stearic acid	0.25
Phosphite	2

Table XIII

Component	Parts
PVC Resin	100
Plasticizer	45
Epoxidized soybean oil	5
CaCO ₃	20
Stearic acid	0.25
Phosphite	various

Table XIV

Time (min)	Color (Yellowness Index) Value			B
	Ba/Cd	Ba/Zn	Ba/Cd/Zn	
0	1.4	1.2	1.6	1.5
30	1.7	1.5	2.2	1.7
75	8.9	9.9	8.3	5.8
120	12.7	16.7	10.8	9.9
150	15.7	21.9	15.1	14.4

Example #7

A stabilization for a pool liner composition was performed using the composition of Table XIII was formulated using 3.5 phr of stabilizer, the Yellowness Index results of which are shown in Table XV for several Prior Art additives.

Table XV

Time (min)	Color (Yellowness Index) Value			B
	Ba/Cd	Ba/Zn	Ba/Cd/Zn	
0	1.7	1.1	1.6	1.2
20	2.2	1.9	2.3	1.5
40	4.1	3.5	3.5	3.3
70	11.8	8.1	9.8	7.7
100	16.2	22.7	21.8	13.2
120	23.0	36.0	32.5	21.9

Table XII

Time (min)	Color (Yellowness Index) Value			
	0 ppm Zn	50 ppm Zn	200 ppm Zn	400 ppm Zn
<u>Doverphos® 675</u>				
0	<u>51.9</u>	<u>14.1</u>	<u>3.9</u>	<u>3.5</u>
20	<u>62.7</u>	<u>14.9</u>	<u>4.6</u>	<u>3.2</u>
50	<u>72.5</u>	<u>50.2</u>	<u>13.1</u>	<u>12.0</u>
70	<u>91.5</u>	<u>66.8</u>	<u>23.5</u>	<u>26.1</u>
90	<u>100.7</u>	<u>75.2</u>	<u>39.6</u>	<u>58.3</u>
110	<u>135.4</u>	<u>88.5</u>	<u>61.2</u>	<u>Char</u>
<u>Doverphos® 12</u>				
0	<u>50.2</u>	<u>14.1</u>	<u>2.9</u>	<u>3.0</u>
20	<u>60.4</u>	<u>15.6</u>	<u>3.5</u>	<u>3.2</u>
50	<u>75.2</u>	<u>55.9</u>	<u>12.8</u>	<u>11.5</u>
70	<u>89.3</u>	<u>75.2</u>	<u>23.9</u>	<u>19.4</u>
90	<u>100.1</u>	<u>89.0</u>	<u>38.7</u>	<u>36.4</u>
110	<u>131.8</u>	<u>98.8</u>	<u>59.4</u>	<u>67.6</u>
<u>Doverphos® 9EH</u>				
0	<u>51.9</u>	<u>9.2</u>	<u>4.1</u>	<u>3.0</u>
20	<u>65.2</u>	<u>12.8</u>	<u>4.7</u>	<u>4.0</u>
50	<u>74.9</u>	<u>55.6</u>	<u>12.8</u>	<u>11.5</u>
70	<u>96.2</u>	<u>66.6</u>	<u>22.5</u>	<u>37.3</u>
90	<u>108.6</u>	<u>75.4</u>	<u>45.5</u>	<u>Char</u>
110	<u>132.7</u>	<u>87.4</u>	<u>116</u>	

3 It is seen that while there is a minimal value of zinc that must be added in order to
 4 achieve similar or superior stabilization, beyond a critical value of zinc, continued addition
 5 of zinc is detrimental to the system.

6 Example #6 – Roofing Membrane

7 A stabilization for a roofing membrane composition was performed using the
 8 composition of Table XIII was formulated using 4.5 phr of stabilizer, the Yellowness Index
 9 results of which are shown in Table XIV for several Prior Art additives.

1 **Table XIII**

Component	<u>[[PHR]] Parts</u>
PVC Resin	100
Plasticizer	45
Epoxidized soybean oil	5
CaCO ₃	20
Stearic acid	0.25
Phosphite	Various

2 **Table XIV**

Time (min)	Color (Yellowness Index) Value			
	Ba/Cd	Ba/Zn	Ba/Cd/Zn	B
0	1.4	1.2	1.6	1.5
30	1.7	1.5	2.2	1.7
75	8.9	9.9	8.3	5.8
120	12.7	16.7	10.8	9.9
150	15.7	21.9	15.1	14.4

5 Example #7

6 A stabilization for a pool liner composition was performed using the composition of
7 Table XIII was formulated using 3.5 phr of stabilizer, the Yellowness Index results of which
8 are shown in Table XV for several Prior Art additives.[[.]]

9 **Table XV**

Time (min)	Color (Yellowness Index) Value			
	Ba/Cd	Ba/Zn	Ba/Cd/Zn	B
0	1.7	1.1	1.6	1.2
20	2.2	1.9	2.3	1.5
40	4.1	3.5	3.5	3.3
70	11.8	8.1	9.8	7.7
100	16.2	22.7	21.8	13.2
120	23.0	36.0	32.5	21.9

1

2 Example #8

3 A stabilization for a wall covering composition was performed using the composition
4 of Table XIII was formulated using 4 phr of stabilizer, the Yellowness Index results of which
5 are shown in Table [[XVII]] XVI for several Prior Art additives.

6 **Table [[XVII]] XVI**

Time (min)	Color (Yellowness Index) Values		
	Ba/Cd	Ba/Zn	B
0	1.9	1.9	1.7
20	2.1	2.1	1.9
50	8.0	12.4	8.4
70	15.0	21.9	14.0
100	29.3	32.7	23.5
120	Char	Char	40.6

7

8 Example #9

9 A stabilization for a flooring plastisol composition was performed using the
10 composition of Table [[XIII]] XVII was formulated using 5.5 phr of stabilizer, (reduced level
11 3.8 phr) the Yellowness Index results of which are shown in Table [[XVIII]] XVII for several
12 Prior Art additives.

13 **Table [[XVIII]] XVII**

Time (min)	Color (Yellowness Index) Values		
	Ba/Cd	B	B (70%) replacement
0	1.8	1.7	2.1
10	2.5	2.1	2.7
25	6.1	5.1	6.3
40	22.1	14.3	16.7
50	43.7	21.8	27.2
60	60.06	33.9	60.0

1 *Example #10*

2 While complete replacements of existing Prior Art additive packages are envisioned,
3 there is no need to limit the invention to such. In fact, partial replacements are within the
4 scope of the invention, such replacements ranging from 0.01% to 100% of the Prior Art
5 additive.

6 **Table [[XIX]] XVIII**

Component	Parts
PVC Resin	100
Plasticizer	55
Epoxidized soybean oil	3
TiO ₂	7
Stearic acid	0.3
Stabilizer	3.5

7 **Table [[XX]] XIX**

Time (min)	Color (Yellowness Index) Values	
	W	B (50%) replacement
0	1.1	0.8
20	1.9	1.7
40	2.5	2.3
80	13.4	10.4
100	22.7	14.9
120	Char	25.3

9 *Example #11*

10 The performance of a substituted bisphenolic phosphite ester, DOVERPHOS 479
11 was compared to other phosphite esters using the formulation illustrated in Table [[XXI]] XX
12 with data as shown in Table [[XXII]] XXI.

Table [[XXI]] XX

Component	Parts
PVC Resin	100
Plasticizer	38
Epoxidized soybean oil	3
Stearic acid	0.2
Zinc stearate (10% Zn by weight)	0.08
Phosphite	Various

Table [[XXII]] XXI

Time	Color (Yellowness Index) Value				
	2 phr Doverphos® 4	2 phr Doverphos® 479	1 phr Doverphos® 479	0.4 phr Doverphos® 479	2 phr Doverphos® 53
Time (min) at 180°C					
0	1.8	2.5	1.5	1.5	0.9
20	2.5	2.9	2.0	1.9	1.2
50	4.4	4.5	3.1	3.0	3.3
80	56.6	9.4	7.6	16.5	12.1
110	89.1	13.4	19.0	Char	45.3
120	char	16.3	30.0		107
Time (hrs) at 80°C					
0	7.5	8.3	6.1	6.2	5.4
48	13.2	12.8	10.6	11.1	8.7
144	24.3	21.5	19.7	21.5	17.9
192	30	25.2	24.0	26.0	24.8
240	35.5	29.0	28.0	30.6	31.3
336	47.4	35.9	35.3	38.8	42.7
408	56.0	41.1	40.5	45.0	47.1
480	64.2	46.2	45.4	50.7	50.5
Time (hrs) using Xenon Arc Weatherometer (63°C)					
0	7.5	8.3	6.1	6.2	5.4
24	12.8	9.7	6.4	6.2	5.6

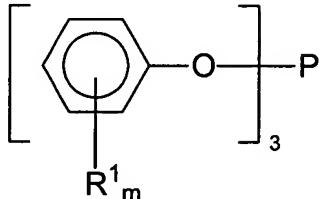
120	31.4	22.0	13.3	13.3	7.9
168	34.9	24.4	21.8	16.4	9.1
263	37.0	35.3	22.4	17.3	11.3
287	50.0	50.0	25.4	17.5	12.8

1
2 Therefore, what has been shown is an effective replacement of Prior Art mixed metal
3 stabilizers whereby at least a portion of the mixed metal stabilizer is substituted with an
4 effective amount of phosphite ester / zinc combination, such effective amount determined
5 as the addition of a sufficient amount to effect a positive change in a Yellowness Index
6 value over a composition which had previously been stabilized with a mixed metal
7 stabilizer.

8 The phosphite ester is selected from the group consisting of aryl phosphites, alkyl
9 phosphites, aryl/alkyl phosphites, bisphenol-A phosphites, dialkylene glycol phosphites and
10 polydialkylene glycol phosphites, pentaerythritol phosphites and *p*-cumyl phenol
11 phosphites. As used in this application, the following formulas are derived from the specific
12 examples provided and will have the following meanings:

13

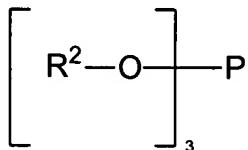
Aryl phosphites



Wherein:

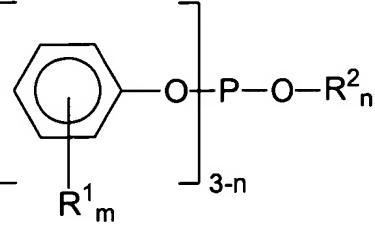
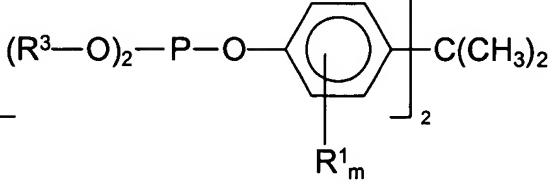
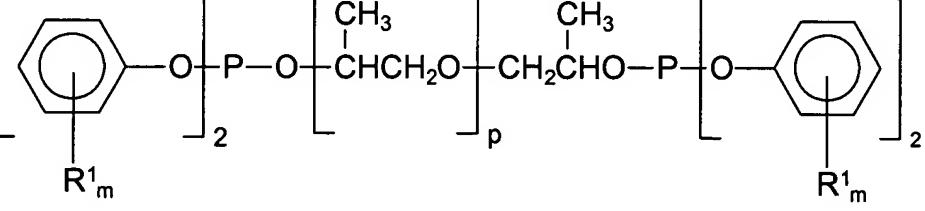
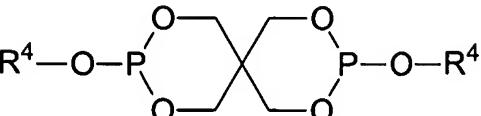
R^1 is independently selected from the group consisting of H, C₁₋₁₈ alkyl, C₁₋₁₈ alkoxy, halogens; and m is an integral value from 0 to 5 inclusive.

Alkyl phosphites



Wherein:

R^2 is selected from the group consisting of C₁₋₁₈ alkyl.

Alkyl/Aryl phosphites		Wherein: R ¹ is as previously defined; R ² is as previously defined; m is an integral value from 0 to 5 inclusive; and n is an integral value from 1 to 2.
Bisphenol-A phosphites		Wherein R ¹ is as defined previously; R ³ is C ₈₋₁₈ alkyl; and m is an integral value from 0 to 5 inclusive.
Polydialkylene glycol phosphites		Wherein: R ¹ is as defined previously; m is an integral value from 0 to 5 inclusive; and p is an integral value from 0 to 1 inclusive.
Pentaerythritol phosphites		Wherein: R ⁴ is selected from the group consisting of C ₈₋₁₈ alkyl; C ₆₋₃₀ aryl, C ₆₋₃₀ fused aryl rings, C ₇₋₃₅ alkylaryl, C ₇₋₃₅ arylalkyl, and substituted

		derivatives thereof, wherein the substituents are selected from the group consisting of halogens, hydroxyl, C ₁₋₄ alkyl, and C ₁₋₄ alkoxy.
p-Cumyl phenol phosphites	<chem>CC(C)(c1ccccc1)Oc2ccccc2OP(=O)([O-])[O-]R5</chem>	<p>Wherein:</p> <p>R⁵ is independently selected from the group consisting of C₈₋₁₈ alkyl; C₆₋₃₀ aryl, C₆₋₃₀ fused aryl rings, C₇₋₃₅ alkaryl, C₇₋₃₅ arylalkyl, and substituted derivatives thereof, wherein the substituents are selected from the group consisting of halogens, hydroxyl, C₁₋₄ alkyl, and C₁₋₄ alkoxy.</p>

As illustrated in the data, complex polyphosphites such as Doverphos® 12 and Doverphos® 675 outperformed simple phosphite esters as replacements for heavy metal components of mixed metal stabilizers. The zinc level was important to optimize the performance of the phosphite blends. Synergistic performances were observed with combinations of several phosphite esters, with the additional benefit of cost effectiveness. Also, ancillary properties of vinyl compounds such as clarity, light stability and plate out resistance improved markedly as polyphosphites replaced heavy metal components of mixed metal stabilizers. It is noted that the mixed phosphites can partially or totally replace conventional mixed metal stabilizers containing toxic metals such as barium and cadmium in many flexible PVC applications.

While not being held to any one theory of operation or mechanism of performance, it is believed that in the absence of barium or cadmium and in the presence of only low levels of zinc, the phosphite esters, either alone or in combination (i.e., PhosBoosters™) function as primary heat stabilizers principally by replacing labile chlorides on PVC via the classical Arbuzov Rearrangement mechanism. PhosBoosters™, when used at optimized levels in

1 combination with catalytic amounts of zinc and normal levels of epoxidized soybean oil
2 provide excellent early color hold and adequate long term stability to flexible PVC
3 compounds. Their judicious use may compounders up to 25 percent reductions in
4 stabilization costs.

5 In addition to saving money and eliminating toxic metals, the use of PhosBoosterstm
6 mitigates the undesirable ancillary properties of vinyl compounds ordinarily associated with
7 high use levels of barium and cadmium, namely, plate out, poor printability, viscosity drift in
8 plastisols, haze, bloom, water blush and staining. PhosBoosterstm are colorless, clear,
9 storage stable, low viscosity, nonvolatile, diluent free, 100% active liquids.

10 This invention has been described in detail with reference to specific embodiments
11 thereof, including the respective best modes for carrying out each embodiment. It shall be
12 understood that these illustrations are by way of example and not by way of limitation.